

FORM PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY DOCKET NO. 614.1921/PIK	APPLICATION NO. 09/248,103
LIST OF REFERENCES CITED BY APPLICANT <i>(Use several sheets if necessary)</i>				FIRST NAMED INVENTOR NAKAZAWA et al.	
				FILING DATE February 11, 1999	GROUP ART UNIT 2731

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
AB	AA	5,718,226	2-17-98	Riza		TOP	
	AB						AUG 8 9 1999
	AF						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION YES NO -
AB	AG	WO 98/04954	2-5-98	WIPO		TC	X
	AH						AUG 11 1999
	AI						RECEIVED
	AJ						MAIL ROOM
	AK						
	AL						

OTHER REFERENCES *(Including Author, Title, Date, Pertinent Pages, Etc.)*

	AM	
	AN	
	AO	

EXAMINER <i>AB</i>	DATE CONSIDERED 12/18/01
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY DOCKET NO. 614.1921/PIK	APPLICATION NO. New
LIST OF REFERENCES CITED BY APPLICANT <i>(Use several sheets if necessary)</i>		FIRST NAMED INVENTOR Tadao NAKAZAWA et al.	
		FILING DATE February 11, 1999	GROUP ART UNIT Unassigned
 02/11/99 0135/248102			

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION YES NO
	AB						

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>AB</i>	AC	Smith et al. "Integrated-Optic Acoustically-Tunable Filters for WDM Networks" IEEE Journal on Selected Areas in Communications, Vol. 8, No. 6, August 1990, pgs. 1151-1159.
<i>AB</i>	AD	Tian et al. "Interchannel Interference in Multiwavelength Operation of Integrated Acousto-Optical Filters and Switches" Journal of Lightwave Technology, Vol. 13, No. 6, June 1995, pgs. 1146-1154
<i>AB</i>	AE	Yun et al. "All-fiber acousto-optic tunable filter" OFC '95 Technical Digest, Wednesday Afternoon, WP16, 5:00 pm, pgs. 186-187.
<i>AB</i>	AF	Kim et al. "Single-mode-fiber acousto-optic tunable notch filter with variable spectral profile" Korea Advanced Institute of Science and Technology, PD7-1 to PD7-4.
<i>AB</i>	AG	Hwang et al. "All-fiber-optic nonreciprocal modulator" Optics Letters, Vol. 22, No. 8, April 15, 1997, pgs. 507-509.
<i>AB</i>	AH	Östling et al. "Narrow-band acousto-optic tunable filtering in a two-mode fiber" Optics Letters, Vol. 20, No. 11, June 1, 1995, pgs. 1247-1249.
	AI	

EXAMINER	DATE CONSIDERED
<i>AB</i>	12/10/01

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ATTACHMENT 1(f)

LIST OF COPENDING APPLICATIONS	ATTORNEY DOCKET NO.	APPLICATION NO.
	614.1921/PIK	New
	FIRST NAMED INVENTOR	
	Tadao NAKAZAWA et al.	

FILING DATE	GROUP ART UNIT
February 11, 1999	Unassigned

The following, prior-filed, copending U.S. patent application(s) is/are listed in accordance with the duty of disclosure provisions of 37 CFR § 1.56, so that the Examiner may consider same should he deem any thereof to be material to examination of the subject application. Pursuant to 37 CFR 1.98(a)(2)(iii), no copy of the identified copending application(s) is provided.

It is requested that the Examiner acknowledge his consideration of application(s) below-listed by initialling same in the space provided adjacent each such application and that the Examiner sign and date this form at the bottom thereof to confirm such consideration having been given.

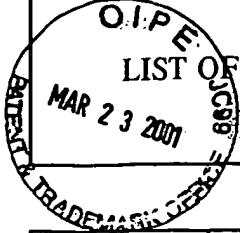
This submission in no way represents an admission that any of the information listed herein constitutes prior art with respect to the subject application and unless and until such prior art status is established, this submission is not a request that the information presented herein be printed on the face of any patent issuing from the subject application in which this information is being filed.

U.S. PATENT APPLICATION DOCUMENTS

*EXAMINER INITIAL		U.S. SERIAL NO.	FILING DATE	NAME	ASSIGNEE
<i>HB</i>	1	09/080,399	5/18/98	Hiroshi ONAKA et al.	Fujitsu Limited
	2				
	3				
	4				
	5				
	6				
	7				
	8				

EXAMINER	DATE CONSIDERED
<i>HB</i>	<i>12/18/01</i>

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FORM PTO-1449	U. S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY DOCKET NO. 614.1921 (PIK)	APPLICATION NO. 09/248,103
 <p>LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)</p>		FIRST NAMED INVENTOR Tadao NAKAZAWA, et al.		
		FILING DATE February 11, 1999	GROUP ART UNIT 3458	

U. S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION YES NO
<i>JB</i>	AG	WO 97/10658	20.03.97	PCT	H04J	14/02	
	AH						
	AI						
	AJ						
	AK						
	AL						

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	AM	
	AN	

EXAMINER 	DATE CONSIDERED <i>12/18/01</i>
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